



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 10/006,100 | 12/03/2001 | Robert A. Shepherd JR. | NOVEP015 |

25920
MARTINE & PENILLA, LLP
710 LAKEWAY DRIVE
SUITE 170
SUNNYVALE, CA 94085



CONFIRMATION NO. 9461

FORMALITIES LETTER



OC000000007370266

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
James Caughran
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Haïmanot Tegbaru

RECEIVED 01/28/2002
12:11 PM

12:11 PM

10006100-04-1000

PART 3 - OFFICE COPY

[illegible]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Robert A. SHEPHERD, Jr. et al.

Application No. 10/006,100

Filed: December 3, 2001

For: METHOD AND APPARATUS FOR
PLASMA OPTIMIZATION IN
WAFER PROCESSING

Examiner: Not Assigned

Art Unit: 3723

Docket No. NOVEP015

Date: March 28, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on March 28, 2002.

Signed: 

Diane Schwanbeck

RESPONSE TO NOTICE TO FILE MISSING PARTS

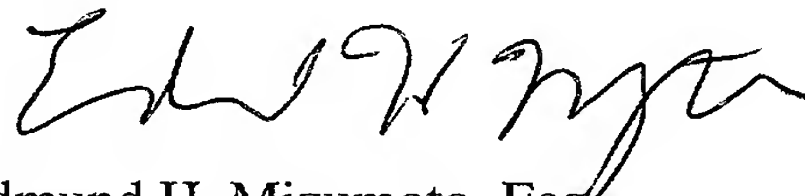
Commissioner for Patents
Box: MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted dated January 28, 2002, Applicants hereby attach a Declaration and Power of Attorney form signed by James Caughran, a Separate Letter to the Official Draftsperson with the formal drawings, and the copy of the Notice to be returned with this response.

Also attached is our Check No. 6639 for \$130.00 in payment of the surcharge. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No. NOVEP015). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,
MARTINE & PENILLA, LLP



Edmund H. Mizumoto, Esq.
Reg. No. 46,938

710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Telephone: (408) 749-6900
Customer No. 25920